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PATENT AND TRADEMARK CAUSES

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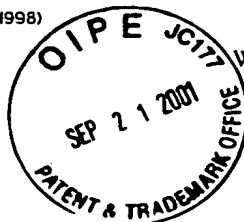
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March 26, 2001

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PATENT AGENT  
ALLEN C. YUN, PH.D.

Hon. Assistant Commissioner for Patents  
Box Patent Appln  
Washington, D.C. 20231

Re: New Non-provisional U.S. Patent Application  
Applicant: GARABEDIAN et al.  
Title: METHOD FOR SCREENING TRANSCRIPTIONAL COREGULATORY PROTEINS OF  
TRANSCRIPTION FACTORS, AND ANDROGEN RECEPTOR TRANSCRIPTIONAL  
COREGULATORY PROTEINS AS TARGETS FOR ANDROGEN RECEPTOR-  
DEPENDENT DISEASES  
Atty's Docket: GARABEDIAN=1.1A

Sir:

Attached herewith is the above-identified application for Letters Patent including:

- ☐ Application Data Sheet
- ☒ Specification (80 pages), claims (8 pages), abstract (1 page) and Sequence Listing (23 pages)
- ☒ 15 Sheets Drawings (Figures 1A-18)  
☒ FORMAL ☐ Informal
- ☒ The inventors of this application are:

Michael GARABEDIAN, 3 Washington Square Village, Apt. 15-I, New York, NY 10012

Samir TANEJA, 620 Bridle Path, Wyckoff, NJ 07481

Adam HITTELMAN, 415 E. 37<sup>th</sup> Street, New York, NY 10016

Steven MARKUS, 99 15<sup>th</sup> Street, Apt. 1R, Brooklyn, NY 11215

- ☐ Information Disclosure Statement with SB/08A and \_\_\_ references
- ☒ Return Receipt Postcard (in duplicate)

The following statements are applicable:

- ☐ Applicant hereby requests that this application not be published pursuant to 35 U.S.C. §122(a). It is certified on behalf of applicant that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing.
- ☒ Applicant claims small entity status. See 37 C.F.R. §1.27.

# Drawing REVIEW REQUEST Transmittal

**Examining Group Person-**Please complete the top part of this transmittal and attach it to the complete set of drawing. Deliver drawings and this transmittal form to 7B02 (Drafting Incoming Box).

LOEB  
Examiner/ Clerk

1636  
Group/ Art Unit

7/3/02  
Date

09/816,669  
Application/ Serial Number

16  
Number of Sheet(s) of Drawings

## Transmitted:

☒ Complete set of drawings for review. No previous PTO-948

☐ Complete set of drawings for review. Latest PTO-948 attached.

## DRAFTING PERSONNEL:

Complete new PTO-948 and return with drawing(s).

Comments: \_\_\_\_\_  
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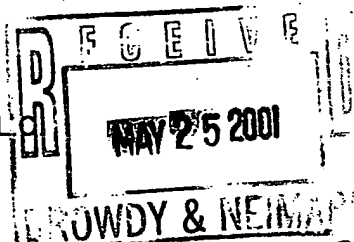


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,669	03/26/2001	Michael Garabedian	GARABEDIAN=1.1A

BROWDY AND NEIMARK, P.L.L.C.  
624 Ninth Street, N.W.  
Washington, DC 20001



CONFIRMATION NO. 5735

## FORMALITIES LETTER



\*OC000000006109613\*

Date Mailed: 05/23/2001

DOCKETED

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$126.  

■ \$126 for 14 total claims over 20.	01 FC:201	355.00 DP
	02 FC:203	126.00 CP
	03 FC:205	55.00 CP
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- The balance due by applicant is \$ 481.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence

03/25/2001 BAERAH01 00000060 09816669

listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

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